



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Bradley A. Handler	Examiner:	Pierre E. Elisca
Serial No.:	09/457,466	Group Art Unit:	3621
Filed:	December 08, 1999	Docket:	2043.001US1
Title:	INTEGRATED AUCTION		

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Notification to this effect was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004 and October 19, 2004. Thus, Applicant has not included copies of any US Patents or US Patent Applications identifiable by serial number that may be cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

BRADLEY A. HANDLER

By his Representatives,

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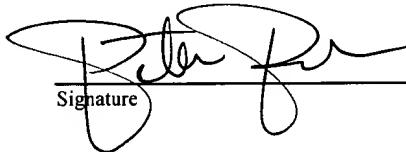
Date 7/5/2006

By Andrew DeLizio

Andrew DeLizio  
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**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5 day of July, 2006.

Peter Rabenau  
Name

  
\_\_\_\_\_  
Signature



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Substitute for form 1449 PTO TRADEMARK OFFICE  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	09/457,466
<b>Filing Date</b>	December 8, 1999
<b>First Named Inventor</b>	Handler, Bradley
<b>Group Art Unit</b>	3621
<b>Examiner Name</b>	Elisca, Pierre

Sheet 1 of 1

Attorney Docket No: 2043.001US1

### US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2003/0131006A1	07/10/2003	Monahan, Jay, et al.	12/10/2002
	US-2006/0112003A1	05/25/2006	Levy, D., et al.	11/01/2005
	US-5,974,398	10/26/1999	Hanson, Bruce L., et al.	04/11/1997
	US-6,012,045	01/04/2000	Barzilai, Nizan, et al.	07/01/1997
	US-6,023,685	02/08/2000	Brett, Kenton F., et al.	05/23/1997
	US-6,523,037	02/18/2003	Monahan, Jay, et al.	09/22/2000

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
	CA-2253543	03/19/1997	Fisher, Alan, et al.	
	FR-2658635	08/23/1991	Mourad, Assabgui	
	JP-04-342065	11/27/1992	Osamu, O., et al.	
	JP-10-229555	08/25/1998	Masanobu, K.	
	KR-20000037331A	07/05/2000		
	WO-0022907A2	04/27/2000	Kivimaki, Bjorn	
	WO-0039735A2	07/06/2000	Friedland, Noah S., et al.	
	WO-9737315A1	10/09/1997	Fisher, Alan S., et al.	

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BEAM, CARRIE, et al., "Automated Negotiations: A Survey of the State of the Art", <u>Fisher Center for Information Technology &amp; Management - Walter A. Haas School of Business</u> , 14 Pages	
		BEAM, CARRIE, et al., "Electronic Negotiation through Internet-based Auctions", <u>CITM Working Paper 96-WP-1019, Fisher Center for Information Technology &amp; Management - Walter A. Haas School of Business</u> , (December 1996), 39 Pages	
		KIKUCHI, HIROAKI, et al., "Multi-Round Anonymous Auction Protocols", <u>IEICE Trans. Inf. &amp; Syst.</u> , Vol. E82-D, No. 4, (April 1999), 769-777	
		OOTAKE, T., "Monthly Turnover of Ten Million Yen Through Auctions: Stake on EC by Introducing a New System", <u>Orient Corporation, Nikkei Multimedia</u> , (Aug. 15, 1998), 92-97	
		TEICH, JEFFREY, et al., "Multiple-issue auction and market algorithms for the world wide web", <u>Decision Support Systems</u> , Vol. 26, (1999), 49-66	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached